

**Texas Southmost College**  
**Annual Investment Report (Including Deposits)**

August 31, 2013  
Market Value

Investment or Deposit Type

| Investment or Deposit Type   | Market Value     |
|--|------------------|
| <b>Publicly Traded Equity and Similar Investments</b>  |                  |
| Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds) | 95,161.00        |
| Equity/Stock Mutual Funds  |                  |
| Balanced Mutual Funds (where target allocation is > 50% equities)  |                  |
| "Commonfund" Equity Commingled Funds   |                  |
| Other Equity Commingled Funds (if primarily invested in publicly traded equities)  |                  |
| Preferred Stock  |                  |
| Other - list by type   |                  |
| <b>Total Publicly Traded Equity and Similar Investments</b>  | <b>95,161.00</b> |

|   |             |
|---|-------------|
| <b>"Other" Investments - Other than Publicly Traded Equity and Debt Investments</b>   |             |
| Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio ) |             |
| Other Real Asset Investments (e.g. investments in infrastructure funds)   |             |
| Private Equity  |             |
| Hedge Funds   |             |
| "Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)   |             |
| Annuities   |             |
| Commodities   |             |
| Collectibles  |             |
| Other - list by type  |             |
| <b>Total "Other" Investments - Other than Publicly Traded Equity &amp; Debt Investments</b>   | <b>0.00</b> |

|   |  |
|---|--|
| <b>Publicly Traded Debt &amp; Similar Investments &gt;1 year maturity</b>               |  |
| U.S. Government Securities ("Treasuries")   |  |
| U.S. Government Agency Securities ("Agencies")  |  |
| Mortgage Pass-Throughs - "Agency"   |  |
| Mortgage Pass-Throughs - "Private Label"  |  |
| Asset-Backed Securities (ABS) (other than mortgage-backed securities)                   |  |
| Sovereign Debt (non-U.S.)   |  |
| Municipal Obligations   |  |
| <b>Collateralized Mortgage Obligations (CMOs) - list below by category</b>              |  |
| Interest Only Strips (IOs)  |  |
| Principal Only Strips (POs)   |  |
| Inverse Floaters  |  |
| Stated Final Maturity longer than 10 years  |  |
| Other CMOs - "Agency"   |  |
| Other CMOs - "Private Label"  |  |
| <b>Corporate Obligations (U.S. or foreign companies) - list below by rating</b>         |  |
| Highly Rated (AAA/AA or equivalent)   |  |
| Other Investment Grade (A/BBB or equivalent)  |  |
| High Yield Bonds (<BBB or equivalent)   |  |
| Not Rated (NR)  |  |
| Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)                   |  |
| Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities) |  |
| "Commonfund" Fixed Income/Bond Commingled Funds   |  |

**Texas Southmost College**  
**Annual Investment Report (Including Deposits)**

August 31, 2013  
Market Value

Investment or Deposit Type

Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC)

GICs (Guaranteed Investment Contracts)

Other - list by type

|  |      |
|--|------|
| <b>Total Publicly Traded Debt &amp; Similar Investments &gt;1 year</b> | 0.00 |
|--|------|

|  |
|--|
| <b>Short-Term Investments &amp; Deposits</b> |
|--|

U.S. Government Securities ("Treasuries")

U.S. Government Agency Securities ("Agencies")

Bankers' Acceptances

Commercial Paper - A1/P1 (or equivalent)

Other Commercial Paper - lower rated

Repurchase Agreements (Repos)

Money Market Mutual Funds (registered with the SEC)

Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)

Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")

TexPool (and TexPool Prime)

Other Public Funds Investment Pools Functioning as Money Market Mutual Funds

Other Investment Pools - Short-Term (not created to function as a money market mutual fund)

Certificates of Deposit (CD) - Nonnegotiable

Certificates of Deposit (CD) - Negotiable

Bank Deposits

38,624,221.00

Cash Held at State Treasury

Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)

Other - list by type

|  |               |
|--|---------------|
| <b>Total Short-Term Investments &amp; Deposits</b> | 38,624,221.00 |
| <b>TOTAL INVESTMENTS and DEPOSITS</b>              | 38,719,382.00 |

Texas Southmost College  
Annual Investment Report (Including Deposits)

August 31, 2013  
Market Value

Investment or Deposit Type

**TEXAS SOUTHMOST COLLEGE**  
**Required Disclosure of Certain Investment Information**  
**For the Year Ended August 31, 2013**

- Our institution does not employ outside investment advisors or managers.
- Our institution does not use soft dollar, directed brokerage or directed commission, commission recapture or similar arrangements.
- Our institution is associated with Texas Southmost College Foundation, Inc.

Contact Information for the individual who  
manages the investments of the foundation:

Diana Dietsch-Alardin  
Wells Fargo  
1000 Louisiana Street, Suite 630  
Houston, TX 77002

The market value of the Foundation's investments was \$6,934,400 at August 31, 2013.